## **Contract for Contestable Asset Relocations**



#### SCOPE

This contract is between Ausgrid and a customer who has obtained a certified design and will retain an accredited service provider Level 1 (ASP/1) to carry out Asset Relocation in accordance with the certified design (to the extent Ausgrid has not elected to carry out components of the Asset Relocation in the schedule to the certified design). The contract relates to the services Ausgrid will provide to the customer and the obligations of the customer when having Asset Relocation carried out.

#### WARNING

It is the responsibility of the user of this document to ensure that only the current version is being used. *Ausgrid* may amend this document at any time.

Issue No.	Date	Approved By	Summary of Changes
1	December 2016	Manager - Network Risk and Planning	Initial version
2	14 December 2016	Manager/ Network Risk and Planning	Minor correction to definition for Network Lessee
3	11 December 2017	Manager – Asset Investment	Update for new meter provisions and minor edits to clauses 2.2 and 3.7

#### **Document and Amendment History**

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### **Contract Terms**

This contract is made on the date of Ausgrid's date stamp on the Acceptance of Offer form,

#### between:

**AUSGRID OPERATOR PARTNERSHIP** (**ABN 78 508 211 731**), of 570 George Street, Sydney, trading as Ausgrid, a partnership carried on under that name by:

- (a) Blue Op Partner Pty Ltd (ACN 615 217 500) as trustee for the Blue Op Partner Trust;
- (b) ERIC Alpha Operator Corporation 1 Pty Ltd (ACN 612 975 096) as trustee for ERIC Alpha Operator Trust 1;
- (c) ERIC Alpha Operator Corporation 2 Pty Ltd (ACN 612 975 121) as trustee for ERIC Alpha Operator Trust 2;
- (d) ERIC Alpha Operator Corporation 3 Pty Ltd (ACN 612 975 185) as trustee for ERIC Alpha Operator Trust 3; and
- (e) ERIC Alpha Operator Corporation 4 Pty Ltd (ACN 612 975 210) as trustee for ERIC Alpha Operator Trust 4,

(Ausgrid)

#### and

The customer identified in the application that is annexed to this contract as Annexure 3.

#### Background

- A The *customer* has submitted an *application* to *Ausgrid* for *Asset Relocation*, and *Ausgrid* has provided an *Asset Relocation* offer in respect thereof.
- **B** The customer has accepted Ausgrid's Asset Relocation offer, by sending Ausgrid an Acceptance of Offer.
- **C** Ausgrid has agreed to provide Services on the terms and conditions of this contract.
- **D** The *customer* has entered into a separate *design contract* with *Ausgrid* and has obtained a *certified design* in respect of the works identified in the *application*.
- **E** The *customer* will retain an *ASP/1* to carry out *Asset Relocation*.

#### **Operative provisions:**

## **1** General Terms and Conditions

#### 1.1 Contract documents

This *contract* consists of:

- (a) clauses 1 –19 of these *Contract Terms*;
- (b) all *annexures* to these *Contract Terms*;
- (c) the Asset Relocation offer and the Acceptance of Offer, and

(d) the certified design.

#### 1.2 Definitions

The words in italics are defined in the Dictionary (clause 19.3).

#### 1.3 The customer

- (a) The *customer* is referred to in this *contract* as the *customer* or 'you'.
- (b) If the *applicant* is not the *customer* identified in the *application* and the *applicant* accepts this *contract* and/or performs the *customer's* obligations under the *contract*, it does so as the *customer's* agent.

#### 1.4 Amendment

This *contract* may be amended by agreement in writing between the parties. The written agreement must be signed by representatives of the parties who have authority to bind the party they represent.

#### 1.5 Entire understanding

No oral explanation provided by one party to the other or to any person whom a party represents will:

- (a) affect the meaning or interpretation of this contract; or
- (b) constitute any collateral agreement, warranty or understanding between the parties or with any other person.

#### 1.6 Governing law and jurisdiction

This *contract* is governed by the *law* in force in New South Wales. The parties submit to the exclusive jurisdiction of the courts of New South Wales and any courts which may hear appeals from those courts.

## 2 Key contractual obligations

#### 2.1 Ausgrid works

- (a) Where *Ausgrid* has elected to undertake components of the Asset Relocation works in section 5(b) of the *schedule to the certified design*, the parties acknowledge that, with respect to these works:
  - (1) clauses 2.5, 5 (except in relation to *Ausgrid-funded assets*), 7.1(c), 7.1(d), 8, 9, 16.2(c) do not apply; and
  - (2) clause 11.1(b)(3) shall be deemed to be amended as follows "*your ASP/3* confers with *Ausgrid* to ascertain what design modifications are required"; and
  - (3) clauses that contain references to the *ASP/1* will be read such that they do not apply to the *ASP/1*.

#### 2.2 Ausgrid Services

- (a) Unless *Ausgrid* has elected to undertake the components of the *Asset Relocation* as set out in section 5(b) of the *schedule to the certified design* or is otherwise required to construct the *Ausgrid-funded assets*, *Ausgrid* shall only be required to provide the *Services*.
- (b) Paragraph (c) sets out a description of all *Services* that *Ausgrid* may provide in relation to an *Asset Relocation*.
- (c) *Ausgrid* will provide the *Services* listed below to the extent they are necessary to enable the *customer* to carry out the *Asset Relocation*:
  - (1) inspecting the *work under the contract* on completion;

- (2) services relating to re-inspecting work of the kind referred to in subparagraph (1) if work is defective;
- (3) services relating to the inspection of any part of the *work under the contract* constructed and/or installed by an *electrical professional*;
- (4) services relating to re-inspecting work of the kind referred to in subparagraph (3) if work is defective (re-inspection service);
- (5) liaising with the ASP/1 retained by you to construct the distribution system assets;
- (6) services relating to issuing an access permit;
- authorising the ASP/1 retained by you to commence construction and installation of the distribution system assets;
- (8) to the extent relevant to the Asset Relocation, providing consent to electrification.
- (9) administration services for work performed by accredited service providers;
- (10) providing clearance to work;
- (11) providing access (standby person);
- (12) providing customer interface coordination for contestable works;
- (13) facilitating the connection/relocation process;
- (14) providing services involved in obtaining deeds of agreement regarding property rights;
- (15) design-related services;
- (16) services for temporary connections; and
- (17) substation commissioning.
- (d) Ausgrid shall not be required to prioritise provision of the Services over any other activity which Ausgrid is obliged to carry out, and that Ausgrid's performance is contingent on the availability of personnel. Ausgrid provides the Services under this contract as soon as practicable after Ausgrid is notified that the relevant Services are required and subject to satisfaction by the customer of any precondition to performance, and subject always to availability of Ausgrid personnel.

#### 2.3 Customer's warranties

- (a) You warrant that:
  - (1) you are aware of the terms and conditions of this contract, and
  - (2) where applicable, *you* authorised the *applicant* to make the *application* on *your* behalf.
- (b) You also warrant that:
  - (1) all details in the *application* are correct;
  - (2) the Asset Relocation will be made entirely in accordance with this *contract* (including the *certified design*); and
  - (3) the applicant accepted the Asset Relocation offer with your knowledge and consent.
- (c) You acknowledge that if you breach any of the warranties in this clause 2.3, Ausgrid may terminate the *contract*.

#### 2.4 Your obligation to select and install appropriate equipment

You acknowledge and agree:

(a) that if you or your electrical professionals install equipment that, after electrification, causes interference to the Network Owner's electricity equipment or to electricity sold to any customer at any premises, Ausgrid may disconnect the development and require the equipment causing the interference to be rectified at your cost;

- (b) to take reasonable steps to ensure that your *electrical professionals* select and install equipment that will not or will not be likely to cause such interference;
- (c) that if *Ausgrid* informs *you* before or after *connection* that in its reasonable opinion any equipment installed at the *development* will cause or is likely to cause such interference, *you* will take such steps as are reasonably necessary to ensure that no such interference occurs; and
- (d) that *Ausgrid* may terminate the contract or withhold *Services* if *you* do not comply with *Ausgrid*'s reasonable requirements under this clause.

#### 2.5 The role of ASPs under this *contract*

- (a) You acknowledge and agree that where a provision of this *contract* refers to a requirement that will be discharged by *your ASP/1* and/or *your ASP/3* on *your* behalf, *you* are obliged to ensure that they comply with the requirement.
- (b) You acknowledge that:
  - (1) *your ASP/1* is party to an *authorisation agreement* with *Ausgrid*, which obliges the *ASP/1* to comply with *Ausgrid's* requirements in regard to the construction and installation of works;
  - (2) if you have engaged your ASP/1 to act as your agent for the performance of this contract as well as to perform the works referred to in subparagraph (b)(1), the ASP/1 may have a conflict of interest in acting for itself under the authorisation agreement and for you under this contract, and
  - (3) if you do retain your ASP/1 to act as your agent for the purposes of this contract, the acts of the ASP/1 will be your acts and any breach by the ASP/1 of your obligations under this contract may lead to Ausgrid terminating the contract or withholding Services.

#### 2.6 Scope of work in the certified design

You acknowledge and agree:

- (a) *you* will deliver the full scope of works as set out in the *certified design* within time frames as agreed under clause 6.3;
- (b) if *you* or *your ASP/1* do not deliver the scope of works as set out in the certified design within time frames as agreed under clause 6.3, *Ausgrid* will, at its discretion:
  - (1) on provision of reasonable notice to *you* in writing, take actions necessary to complete the work to its satisfaction; and
  - (2) invoice *you* for the cost of the actions taken pursuant to clause 2.6(b) and those costs will be a debt due and payable to *Ausgrid*; and
- (c) any action taken pursuant to clause 2.6(b) does not remove or reduce *your* obligations under this *contract*.

## **3** Agreement to grant leases or easements

#### 3.1 Interests in land

The *customer* grants or, if it is not the registered proprietor of the *land*, must arrange for the registered proprietor of the *land* to grant, the *Network Owner* the *interests in land* stipulated in the *schedule to the certified design*, if any.

#### 3.2 Renewal of interests in land

- (a) Where *interests in land* have been provided in accordance with clause 3.1 but expire, the *customer* must renew or, if it is not the registered proprietor of the *land*, arrange for the registered proprietor of the *land* to renew those *interests in land*.
- (b) If those *interests in land* cannot be renewed, new *interests in land* must be granted to the *Network Owner* by the registered proprietor of the *land* and where the *customer* is not the registered proprietor, it must arrange for the registered proprietor to grant the relevant new *interests in land* to the *Network Owner*.
- (c) Until those *interests in land* are renewed or new *interests in land* are granted, the *customer* must grant the *Network Owner* all the rights it had under the expired *interests in land*.

## 3.3 Deeds of agreement for interests in land and signed lease or transfer documents

- (a) Where clauses 3.1 and 3.2 do not apply and if required by *Ausgrid, you* will execute or if *you* do not own the relevant *land*, arrange for the registered proprietor of the *land* to execute, as soon as is reasonably practicable after this *contract* commences:
  - (1) a deed of agreement to grant a lease or leases; and/or
  - (2) a deed of agreement to grant an easement or easements,

for the interests in land stipulated in Item 4 of the schedule to the certified design.

(b) The deed/s of agreement must be in accordance with *Ausgrid's* standard form of agreement for lease or agreement for easement, which are available on *Ausgrid's* website.

#### 3.4 Caveat on title

- (a) When it has received duly executed *deeds of agreement* and other *instruments* that comply with the requirements of Item 4 of *Ausgrid's schedule to the certified design* and clause 3.3, *Ausgrid* will arrange for a caveat to be lodged on the title of the *land* affected by the relevant *interest in land* in order to protect the *Network Owner's* entitlement to acquire that *interest in land*.
- (b) Before *electrification* or the *completion date* (as applicable), *Ausgrid* will use its reasonable endeavours to promptly obtain consent from the *Network Owner* to the registration of any *interest in land* that does not conflict with or limit the *Network Owner's* own entitlement to acquire the relevant *interest in land*.
- (c) The caveat will be maintained on the title until the *interest in land* to which the *Network Owner* is entitled are registered by *LPI*, and *you* must ensure that *you* do not and, if applicable, the registered proprietor does not, do anything that affects the *Network Owner's* rights under that caveat.

#### 3.5 Further deeds if new registered proprietor

- (a) You acknowledge that if before *electrification* or the *completion date* (as applicable) a prospective new landowner seeks to register a transfer of the *land*, *you* must obtain from that person, *deeds of agreement* that comply with the requirements of clause 3.3 and deliver them to *Ausgrid*.
- (b) This requirement is a precondition to the *Network Owner's* consent (as the holder of a caveat on title) to the transfer of the *land* to the new registered proprietor.

#### 3.6 Survey plan

In accordance with the agreed staging of works with *your ASP/1* and at least 10 *business days* prior to *electrification* of the *Asset Relocation* described in the *certified design* or the *completion date* (as applicable), you must:

- (a) obtain a *survey plan* for registration purposes, prepared by a *registered surveyor* and showing the leases or easements to be granted to the *Network Owner* and signed by the *registered surveyor*,
- (b) provide to Ausgrid a copy of the survey plan showing in red ink the centre of the electricity cables (or if cable ducts are used, the ducts) as installed, with offsets to the easement or other boundaries and the location of any relevant substation, which contains a statement, signed by the registered surveyor, certifying that the information in red ink has been accurately located (the red line survey plan);
- (c) lodge the leases and/or easements with LPI; and
- (d) provide to Ausgrid LPI's lodgement receipt.

You acknowledge that this requirement is a precondition to *electrification* (where *electrification* is to occur) unless *Ausgrid* agrees otherwise under clause 3.7.

#### 3.7 Electrification prior to registration

- (a) Ausgrid may (but is not obliged to) waive its requirement that interests in land in favour of the Network Owner must be lodged at LPI for registration before electrification (where electrification is to occur) but will do so only if you establish, to Ausgrid's reasonable satisfaction, that it is impractical to lodge the relevant instruments with LPI by the proposed electrification date or the proposed completion date (where electrification is not to occur) (each as adjusted in accordance with the contact).
- (b) You acknowledge and agree that Ausgrid will not agree to electrification prior to lodgement of the relevant instruments with LPI for registration unless the red line survey plan has been provided to Ausgrid at least 10 business days prior to the proposed electrification date
- (c) To avoid doubt, *you* acknowledge and agree that if *Ausgrid* waives its requirement that the *relevant instruments* must be lodged for registration at *LPI* prior to *electrification:* 
  - (1) you must still register those instruments;
  - (2) the caveat that has been placed on the title of the *land* will be maintained until those *instruments* are registered;
  - (3) Ausgrid may serve you with a registration demand if you have not lodged the relevant instruments for registration at LPI within 40 business days after electrification;
  - (4) Ausgrid will commence legal proceedings for specific performance of *your* obligation to register the *interests in land* if the *relevant instruments* have not been lodged with *LPI* for registration within 20 *business days* of the date *Ausgrid* made its *registration demand*; and
  - (5) if *Ausgrid* commences legal proceedings pursuant to clause 3.7(c)(4) you will indemnify *Ausgrid* for its costs of the proceedings on a solicitor/client basis.

#### 3.8 Notifying Ausgrid of registration

- (a) You acknowledge and agree that if *Ausgrid* agrees to *electrification* prior to the lodgement of the *interests in land* for registration by *LPI*, you will proceed to do everything necessary to register those *interests in land* at the earliest practicable date.
- (b) You must notify Ausgrid within 2 business days of the date you have lodged any relevant instrument for registration.
- (c) When LPI has formally registered the *interest in land*, you must notify Ausgrid the next business day after registration. Unless other *interests in land* affecting the *land* remain unregistered, Ausgrid will then use its reasonable endeavours to procure from the Network Owner and Ausgrid will then provide to you a formal withdrawal of the caveat over the *land*.

## 4 Creation of interests in land or delivery of deeds of agreement is a precondition to Ausgrid's agreeing to relocate the assets

- 4.1 Registration or delivery a condition to Ausgrid's agreeing to relocation
  - (a) Ausgrid may suspend its obligations under this *contract* or not permit *your ASP/1* to continue *work under the contract* and the *Ausgrid-funded assets* works (suspension of work) if:
    - (1) at least six (6) weeks prior to *electrification* or the *proposed completion date* (where *electrification* is not to occur), where a *deed of agreement* is required under clause 3.3:
      - (i) the registered proprietor has not delivered those *deeds of agreement* to *Ausgrid*; or
      - (ii) *Ausgrid*, acting reasonably, is not satisfied that the *deeds of agreement* are correct and have been properly executed by all parties other than *Ausgrid* and the *Network Owner*, as applicable; or
    - (2) the registered proprietor of any *land* subject to a requirement under clause 3.1 has not lodged the *relevant instrument* at *LPI* for registration at least two (2) weeks prior to *electrification* or the *proposed completion date* (where *electrification* is not to occur).
  - (b) In the event there is a suspension of work under clause 4.1(a), *you* must take all steps to rectify the situation that led to the suspension of work under clause 4.1(a) before *Ausgrid* will recommence discharging its obligations under this *contract* or allowing *your ASP/1* to continue *work under the contract* and the *Ausgrid-funded assets* works (as the case may be).
  - (c) If before *electrification* or the *completion date* (where *electrification* is not to occur) the registered proprietor agrees to transfer any *land* subject to a deed required by clause 3.3, *Ausgrid* is not obliged to provide, or obtain from the *Network Owner*, a consent to registration of the transfer or perform any further obligation under this *contract* until:
    - (1) the new registered proprietor executes a new *deed of agreement* as required by clause 3.5;
    - (2) you deliver those deeds of agreement to Ausgrid; and
    - (3) *Ausgrid*, acting reasonably, is satisfied that those *deeds of agreement* are correct and have been properly executed by all parties other than *Ausgrid* and the *Network Owner*, as applicable.

## 5 Your ASP/1

#### 5.1 You will retain an ASP/1

- (a) You must retain an ASP/1 to carry out the works under the contract or ensure that an ASP/1 is retained.
- (b) The *ASP/1* must hold a current *Ausgrid authorisation* to *work on or near the distribution system*.
- (c) You must notify Ausgrid of the identity of your ASP/1 and ensure that your ASP/1 notifies Ausgrid's Project Officer as soon as reasonably practicable after you have selected your ASP/1.
- (d) If *you* engage a further *ASP/1* or change *your ASP/1*, then as soon as reasonably practicable after the *ASP/1* has been retained:
  - (1) *you* must notify *Ausgrid* of the identity of the new *ASP/1* and state whether that *ASP/1* is a further or replacement *ASP/1*; and

- (2) you must ensure that the new ASP/1 notifies Ausgrid's Project Officer.
- (e) You acknowledge and agree that until you have fulfilled your notification obligations under this clause, Ausgrid cannot verify that an ASP/1 is your ASP/1 and may withhold provision of the Services, which may impact upon the proposed electrification date and the completion date.

#### 5.2 Ausgrid inspects ASP/1 work

You acknowledge that:

- (a) Ausgrid charges for the Services it performs in relation to the work under the contract,
- (b) Ausgrid charges differential rates for inspection of works under the contract depending upon the grading held by the ASP/1 and, consequently, inspection and other fees billed to you will reflect your ASP/1's grading; and
- (c) depending on *your* contract with *your* ASP/1, *your* ASP/1 may charge *you* for any fees billed to it by Ausgrid.

#### 5.3 ASP/1 may be requested to perform Ausgrid-funded works

You agree that before or at the time your ASP/1 is engaged, you will:

- (a) ascertain whether your ASP/1 is willing to construct the Ausgrid-funded assets referred to in Item 5(d) of the schedule to the certified design for Ausgrid's offered price, which is the sum marked by Ausgrid on the certified design and set out in Item 5(d); and
- (b) ensure that *your ASP/1* informs *Ausgrid* in writing whether it is willing to construct the *Ausgrid-funded assets* for *Ausgrid's offered price*.

#### 5.4 If ASP/1 declines Ausgrid's offered price

- (a) You acknowledge that if your ASP/1 declines to construct the Ausgrid-funded assets for Ausgrid's offered price, Ausgrid may (but is not obliged to):
  - (1) negotiate an alternative price with your ASP/1;
  - (2) decide to construct the Ausgrid-funded assets itself; or
  - (3) decide not to construct some or all the Ausgrid-funded assets.
- (b) You acknowledge that if Ausgrid decides to construct the Ausgrid-funded assets itself:
  - (1) commencement of the works under the contract may be delayed; and
  - (2) the *works under the contract* may take longer to complete than they may have done had *your ASP/1* agreed to construct them.

#### 5.5 Ausgrid decision concerning Ausgrid-funded assets

- (a) Ausgrid warrants that if Ausgrid has not reached agreement with your ASP/1 or made a decision concerning construction of the Ausgrid-funded assets by the time you have notified Ausgrid that you have satisfied the conditions in clause 7.1 in relation to commencing the works under the contract, Ausgrid will make a decision as to whether it will construct those assets within 10 business days after this date and notify both you and your ASP/1 of its decision.
- (b) If Ausgrid constructs the Ausgrid-funded assets itself:
  - (1) you will co-operate and will require your ASP/1 to co-operate with Ausgrid; and
  - (2) Ausgrid will co-operate with you and your ASP/1,

to ensure that all *works under the contract,* and the *Ausgrid-funded assets*, are completed in a safe and timely manner.

#### 5.6 Acknowledgements concerning Ausgrid-funded assets

- (a) You acknowledge that:
  - (1) Ausgrid has decided, for reasons of economic efficiency, to include and fund the installation of the Ausgrid-funded assets at the time the relocated distribution system assets are installed;
  - (2) *your ASP/1* is not obliged to install the *Ausgrid-funded assets* but may agree with *Ausgrid* to do so; and
  - (3) Ausgrid has a duty to ensure that the price it pays for the Ausgrid-funded assets is reasonable and if your ASP/1 offers to install them for a price higher than Ausgrid's offered price, Ausgrid may reject your ASP/1's offer.
- (b) You further acknowledge and agree that if your ASP/1 does construct the Ausgrid-funded assets:
  - (1) *your ASP/1* will submit payment claims to *Ausgrid* for all work in connection with the *Ausgrid-funded assets* pursuant to the *authorisation agreement*;
  - (2) *you* are not responsible for paying *your ASP/1* for the *Ausgrid-funded assets* works and *you* should not pay *your ASP/1* for the *Ausgrid-funded assets* works;
  - (3) Ausgrid will not be liable for any payment made by you to your ASP/1 in respect of any Ausgrid-funded asset;
  - (4) if you consider that your ASP/1 has or may have included in a payment claim to you a claim in respect of Ausgrid-funded assets, you should return the claim to your ASP/1 and inform them they should claim payment for the Ausgrid-funded assets works directly from Ausgrid in accordance with the authorisation agreement, and
  - (5) nothing in this clause requires or entitles *you* to withhold payment of a claim in respect of assets that are not *Ausgrid-funded assets*.

## 6 Pre-construction meeting

#### 6.1 When a meeting is to be held

- (a) You will take reasonable steps to ensure that your ASP/1 and your ASP/3 attend (at no cost to Ausgrid) a pre-construction meeting with Ausgrid at the relevant worksite locations.
- (b) You must arrange for your ASP/1 to organise the meeting as soon as reasonably practicable after you have notified your ASP/1 that you have satisfied the conditions in clause 7.1 in relation to commencing the works under the contract.
- (c) Ausgrid and the ASP/3 must be given no less than 10 *business days*' notice of the meeting and must be held at a date and time suitable to all parties.
- (d) You are entitled but not obliged to attend the meeting.

#### 6.2 Further requirements resulting from the meeting

If as a result of the meeting Ausgrid, acting reasonably, considers that:

- (a) for technical or safety reasons, modifications have to be made to the certified design;
- (b) any non-electrical works that will be utilised in the construction of relocated *distribution system* assets are not *fit for purpose*; or
- (c) your ASP/1 is not complying or in Ausgrid's reasonable opinion may fail to comply with any of Ausgrid's environmental, technical and safety requirements,

Ausgrid may impose additional preconditions to the commencement of *work under the contract* and the *Ausgrid-funded assets* works, provided those preconditions reasonably relate to:

(d) the certified design;

- (e) the fitness for purpose of any non-electrical work; or
- (f) Ausgrid's environmental, technical and safety requirements or the schedule to the certified design.

If Ausgrid does impose such additional preconditions, it will notify you of them in writing.

#### 6.3 Timetable for works under the *contract*

- (a) Prior to or at the pre-construction meeting, *you* must ensure that *your ASP/1* submits to *Ausgrid* a *Table of Critical Dates*, recording all critical dates relevant to the *Asset Relocation* works, the *electrification* of *works under the contract* and the *Ausgrid-funded assets*.
- (b) You must ensure that your ASP/1 submits the Table of Critical Dates and updates it to reflect any changes including any changes as a result of a variation to the *certified design* under clause 11.
- (c) Ausgrid will accept the Table of Critical Dates as the timetable to which your ASP/1 and Ausgrid will work unless it considers, on reasonable grounds, that either your ASP/1 or Ausgrid, or both, will be unable to comply with any date in the table.
- (d) If *Ausgrid* does not accept the *Table of Critical Dates*, it will notify you and *your ASP/1* in writing, setting out its reasons.
- (e) Any dispute arising in relation to the *Table of Critical Dates* is a dispute for the purposes of clause 18 of this *contract* and the parties will attempt to resolve it in accordance with that clause.
- (f) Whenever the ASP/1 fails to meet a critical date set out in the Table of Critical Dates, you must ensure that your ASP/1 revises the table to show new critical dates and sends it to you with a copy to Ausgrid. If Ausgrid does not accept the revised Table of Critical Dates, it will notify you and your ASP/1 in writing, setting out its reasons. Any additional costs incurred by Ausgrid arising out of or in connection with any revised Table of Critical Dates shall be a debt due and payable by you to Ausgrid.
- (g) Where the revision affects the *proposed completion date*, *you* must notify *Ausgrid* of the revised *proposed completion date*.

## 7 Commencement of work under the contract

7.1 Ausgrid to notify you and your ASP/1 that work under the *contract* and the Ausgrid-funded assets works may commence

Ausgrid will send written notice to you and your ASP/1 that work under the contract and the Ausgrid-funded assets works may commence when:

- (a) all sums invoiced by Ausgrid in accordance with clause 16.2(b) have been paid;
- (b) Ausgrid has been notified of the identity of your ASP/1 in accordance with clause 5.1;
- (c) your ASP/1 informs Ausgrid in accordance with clause 5.3; and
- (d) the preconstruction meeting has been held in accordance with clause 6.

## 8 ASP/1's performance of Asset Relocation works and the Ausgrid-funded assets

8.1 Person commissioning ASP/1 work under the *contract* 

You acknowledge that:

(a) you are the person who has commissioned your ASP/1 to perform the work under the contract or you have ensured that an ASP/1 has been retained;

- (b) the *work under the contract* is performed by *your ASP/1* under a contract between *you* and *your ASP/1;*
- (c) Ausgrid is not a party to that contract; and
- (d) Ausgrid has commissioned your ASP/1 to perform the Ausgrid-funded assets works under the authorisation agreement.

#### 8.2 Rectification of Defects

- (a) You will do everything within *your* power to ensure that the *ASP/1* rectifies any *major defect* or *minor defect* of which *you* become aware or which is notified to you by *Ausgrid*, whether before *electrification* or at any stage prior to the expiry of the *rectification period*.
- (b) *Major defects* must be rectified immediately and *minor defects* within 10 *business days* of notification.
- (c) You acknowledge and agree that:
  - no works under the contract and the Ausgrid-funded assets will be electrified if any major defect has not been rectified 10 business days prior to the proposed electrification date and that date will be postponed;
  - (2) if any *major defect* is discovered within the 10 *business days* prior to the *proposed electrification date*, that date will also be postponed;
  - (3) *your ASP/1* will be liable to rectify any defect in the *work under the contract* notified to *you* by *Ausgrid* at any stage prior to the expiry of the *rectification period*; and
  - (4) if any defect (including a *major defect*) is not rectified in accordance with this *contract*, *Ausgrid* will, at its discretion:
    - (A) on provision of reasonable notice to *you* in writing, take actions necessary to rectify the defects to its satisfaction; and
    - (B) invoice *you* for the cost of the actions taken pursuant to clause 8.2(c)(4)(A) and those costs will be a debt due and payable to *Ausgrid*.

#### 8.3 ASP/1's performance

- (a) If Ausgrid notifies you that it has reasonable cause for dissatisfaction with your ASP/1's performance of the Asset Relocation, you will co-operate with Ausgrid to take whatever reasonable measures are necessary to ensure that those services are performed in accordance with Ausgrid's reasonable requirements.
- (b) You will immediately order *your ASP/1* to stop work if *Ausgrid* notifies *you* in writing that the *ASP/1*:
  - (1) has committed a serious safety breach, a serious technical breach or a serious environmental breach; or
  - (2) has failed to rectify a *major defect* in any *work under the contract* or in the *Ausgridfunded assets.*

#### 8.4 Termination of your contract with the ASP/1

- (a) You acknowledge that if:
  - (1) your ASP/1's performance referred to in clause 8.3(a) is not remedied; or
  - (2) the major defect referred to in clause 8.3(b) is not rectified,

the safety, security and reliability of the *distribution system* may be at risk and *Ausgrid* may terminate its *authorisation agreement* with the *ASP/1* which authorises it to undertake the *work under the contract.* 

(b) You must ensure that if your ASP/1 fails to remedy or rectify the matters referred to in clause 8.4(a), you are entitled to terminate the contract with your ASP/1.

- (c) If your ASP/1 continues to undertake the work under the contract or the Ausgrid-funded assets works where the matters in clause 8.4(a) have not been rectified or remedied or where Ausgrid has terminated the authorisation agreement with your ASP/1, Ausgrid may terminate this contract with 10 business days' notice unless you:
  - (1) terminate *your* contract with *your ASP/1* and engage another *ASP/1* to complete the *Asset Relocation*; and
  - (2) where applicable, request that the *ASP/1* engaged in subparagraph (c)(1) agree to perform the *Ausgrid-funded assets works*.
- (d) You must comply with the notification requirements of clause 5.1 in the event that you engage another ASP/1.
- (e) If the ASP/1 whose contract you terminated was also engaged in constructing Ausgridfunded assets, Ausgrid will negotiate with your new ASP/1 concerning the cost of completing the Ausgrid-funded assets and clauses 5.3 to 5.6 of this contract will apply.
- (f) If:
  - (1) you terminate the contract with your ASP/1 other than in the circumstances described in clause 8.4(c); or
  - (2) *your ASP/1* terminates its contract with you,

and the *ASP/1* whose contract was terminated was also engaged in constructing *Ausgrid-funded assets* then *Ausgrid* will negotiate with *your* new *ASP/1* concerning the cost of completing the *Ausgrid-funded assets* and clauses 5.3 to 5.6 of this *contract* will apply.

- (g) If:
  - (1) *your* contract with *your ASP/1* is terminated in the circumstances described in clause 8.4(f); and
  - (2) there is any difference between the total cost for the *Ausgrid-funded assets* that would have been paid to *your* original *ASP/1* and what is actually paid to *your* original *ASP/1* and *your* replacement *ASP/1*,

the difference will be a debt due and payable by you to Ausgrid.

#### 8.5 Payment claims for contestable services

- (a) Notwithstanding that, *your ASP/1* has no entitlement to claim payment from *Ausgrid* in respect of the *work under the contract*, if *your ASP/1* issues a payment claim for the *work under the contract* under the *SOP Act* to *Ausgrid* then *you* will indemnify *Ausgrid* for any:
  - (1) payment that *Ausgrid* is required to pay *your ASP/1* pursuant to any adjudication determination or judgement in respect of the payment claim; and
  - (2) legal costs (on an indemnity basis) incurred by *Ausgrid* in respect of such payment claim.

This clause does not entitle *Ausgrid* to recover any amount from *you* in relation to *Ausgrid-funded assets*.

## 9 Defective non-electrical work done by another contractor

9.1 Defective non-electrical work

lf:

(a) any work for the purposes of the *Asset Relocation* is carried out by a contractor who is not an *accredited service provider* (such as excavation or building works carried out in conjunction with other construction works on or in the vicinity of the *development*); and (b) Ausgrid or the ASP/1 notifies you or you become aware that such work is not *fit for purpose* and requires modification,

you will take all steps necessary to ensure that the required modification is done.

#### 9.2 Your acknowledgements

You acknowledge and agree that:

- (a) *your ASP/1* is responsible for ensuring that all non-electrical work utilised by the *ASP/1* in carrying out the *Asset Relocation* is safe and *fit for purpose*; and
- (b) until your ASP/1, acting reasonably, is satisfied that the modification referred to in clause 9.1 has been completed and the work is *fit for purpose*, no further work may be done in relation to the *Asset Relocation* to the extent that further work is dependent on the defective work.

## 10 Change of designer

If at any time before or during construction of the *work under the contract* and the *Ausgrid-funded* assets you retain another *ASP/3* other than the *ASP/3* who submitted the *certified design* applicable when this *contract* commenced, you will promptly notify *Ausgrid* in writing of the name and contact details of the new *ASP/3*.

## 11 Amendments to the certified design

- 11.1 Scope of variations
  - (a) You must ensure that at any time before or during construction of the *work under the contract*, that the *work under the contract* is consistent with the *certified design*.
  - (b) If at any time before or during construction of the *work under the contract* and the *Ausgrid-funded assets*:
    - (1) *Ausgrid*, acting reasonably, notifies *you* that the *certified design* is deficient in any respect and requires modification; and
    - (2) a minor variation or major variation is required to the *certified design*,

you will take reasonable steps to ensure that:

- (3) *your ASP/1* confers with *your ASP/3* to ascertain what design modifications are required; and
- (4) *your ASP/3* submits design amendments or a new design to *Ausgrid* for certification or re-certification, as the case may be.
- (c) Ausgrid may reasonably decide that the certified design is deficient on grounds that include (but are not limited to) unsuitable or unsafe site conditions, latent conditions, noncompliance with Ausgrid's Network Standards and any other non-compliance with Ausgrid's environmental, technical and safety requirements of which Ausgrid was unaware on the certification date.
- (d) A minor variation does not represent a significant change to the *certified design* and concerns only one component or element of the *certified design*.
- (e) A major variation is a substantial variation to the *certified design* that will significantly affect the *work under the contract* or the *Ausgrid-funded assets*.
- (f) Ausgrid may require your ASP/1 and ASP/3 to attend a meeting at the development (at no cost to Ausgrid) to review the re-certified or newly certified design in the light of site conditions. If such a meeting is required, you will ensure that your ASP/1 and ASP/3 attend the meeting.

(g) You may attend the meeting but are not required to do so.

#### 11.2 Works under contract

- (a) You acknowledge and agree that where a minor variation is proposed, Ausgrid, acting reasonably, may:
  - (1) agree that work may proceed and amendments to the *certified design* may be submitted for re-certification at a later date;
  - (2) agree that the work may proceed and the relevant amendments to the *certified design* may be recorded "as-built" in *Ausgrid's GIS;*
  - (3) require *your ASP/3* to submit an amended design for re-certification before the work proceeds;
  - (4) decline the proposed minor variation, which it may do only on grounds that the proposal fails to comply with *Ausgrid's environmental, technical and safety requirements*; or
  - (5) disagree that the proposed variation is a minor variation and request *you* to propose a major variation.
- (b) If clauses 11.2(a)(3) or (4) apply:
  - (1) you must order your ASP/1 to suspend work under the contract and the Ausgridfunded assets works affected by the proposed variation; and
  - (2) if *you* disagree with *Ausgrid's* decision, *you* may make a written request to *Ausgrid* for a conference, which must be held within 5 *business days* of *your* request.
- (c) If *you* require a major variation, no *work under the contract* affected by the variation may proceed until the *certified design* has been amended and re-certified or a new design has been submitted and becomes a *certified design*.

#### 11.3 Cost of variations

You acknowledge and agree that:

- (a) Ausgrid will not be liable for any costs incurred by you, your ASP/1 or your ASP/3 in connection with any amended or new design required by Ausgrid under this clause (except to the extent that those costs relate to amended or new design of any Ausgrid-funded assets); and
- (b) the design services provided by *Ausgrid* in relation to the re-certification of the design are provided under the *design contract*.

## 12 Electrification, delay to the proposed electrification date and force majeure

#### 12.1 Application

The parties acknowledge that this clause 12 will only apply to the extent that *electrification* is to take place in respect of the *distribution system* assets to be relocated.

#### 12.2 Electrification

Ausgrid will electrify the relocated distribution system assets on the proposed electrification date (as adjusted in accordance with clause 12.3, if applicable) if you and your ASP/1 have complied with the conditions of this contract that constitute preconditions to electrification as set out in clause 12.4(d).

#### 12.3 Events affecting Table of Critical Dates

- (a) Where a revision carried out to the *Table of Critical Dates* pursuant to clause 6.3(e) affects the *proposed electrification date*, *you* must submit a written application to *Ausgrid* requesting *Ausgrid* to reserve a new *proposed electrification date*. The written application must propose a set of alternative dates in case *Ausgrid* cannot reserve *your* preferred date.
- (b) Ausgrid will, subject to clause 12.4, make reasonable attempts to reserve a new proposed electrification date on or close to a date you have listed but may not be able to do so. If it cannot reserve a date you have requested, it will provide you in writing with a list of alternative dates. In that event, you must respond in writing to Ausgrid, either accepting one of Ausgrid's alternative dates or proposing further dates.
- (c) When a new *proposed electrification date* has been agreed in accordance with this clause, *you* must notify *your ASP/1*, who must revise the *Table of Critical Dates* accordingly and provide a copy to *you* and *Ausgrid*.

#### 12.4 Your acknowledgements concerning the proposed electrification date

You acknowledge and agree that:

- (a) Ausgrid may be prevented by a force majeure event from electrifying the relocated distribution system assets on the proposed electrification date (as extended under clause 12.3);
- (b) in an emergency, *Ausgrid* may not be in a position to notify *you* or *your ASP/1* of a *force majeure event* until after the time set for *electrification*;
- (c) *Ausgrid* may be prevented by adverse network circumstances (as described in clause 12.5) from reserving a *proposed electrification date* requested by *your ASP/1*;
- (d) Ausgrid will not electrify the relocated distribution system assets on the proposed electrification date if you have failed to comply with any of your obligations under this contract that must be performed as a precondition to electrification which are:
  - (1) the leases and easements have been lodged for registration in accordance with clause 3.4;
  - (2) the *survey plan* and red line diagram are prepared by a *registered surveyor* have been provided to *Ausgrid* in accordance with clause 3.6;
  - (3) you and your ASP/1 have complied with the Table of Critical Dates, including satisfying every item in the table and (where applicable) you have followed the procedure set out in clause 12.3 relating to a revision of the proposed electrification date;
  - (4) any major defect in the relocated distribution system assets has been rectified;
  - (5) you or your electrical professional has paid all service fees prior to the proposed electrification date in accordance with clause 16; and
  - (6) all the requirements stipulated in clause 15 have been satisfied by the relevant date.

#### 12.5 Force majeure event

A force majeure event means any event outside Ausgrid's reasonable control, including:

- (a) adverse network circumstances, including but not limited to *load* conditions, equipment faults, failures or major incidents in the *distribution system*; third party damage to *distribution system* assets; safety incidents; industrial action; delay by any telecommunications company or utility in relocating any asset if that delay causes delay to *Ausgrid augmentation* works or work on *Ausgrid-funded assets;*
- (b) adverse weather conditions including but not limited to storms, cyclones, fire, flood, earthquake and weather conditions that lead *Ausgrid*, acting reasonably, to decide in the interests of the comfort or safety of any person that it should not interrupt electricity to the *premises* of any person to facilitate the *Asset Relocation*;

- (c) a delay to *Ausgrid's Services* resulting from an act or failure to act by an *authority* that has rights to consultation or rights of consent in relation to the *Ausgrid-funded works* under this *contract*; and
- (d) any other event outside Ausgrid's reasonable control, including but not limited to explosion, natural disaster, sabotage, riots, malicious damage, act of a public or foreign enemy, terrorism, hostilities, invasion, war (declared or undeclared), civil war, rebellion, revolution, insurrection, military or usurped power, radioactive or toxic or dangerous chemical contamination, industrial action including strikes and boycotts, civil commotion, government directive, confiscation, nationalisation, requisition or damage to property by or under the order of any government.

# 13 Ownership of the relocated distribution system assets

- (a) You acknowledge that the Network Owner will own the relocated *distribution system* assets when the Asset Relocation is completed or, where *electrification* is to take place, when they are *electrified*.
- (b) You will make all necessary inquiries to ascertain that the Network Owner will acquire those assets without challenge to its rights of ownership and you will do all things reasonably open to you and necessary to ensure the Network Owner's ownership is not open to challenge. This may include ensuring that appropriate transfer of title provisions are contained in your contracts with your ASP/1 and other contractors.
- (c) In the event of any challenge to the *Network Owner's* ownership:
  - (1) *you* will, at no cost to *Ausgrid*, do everything *Ausgrid* reasonably requests to assist it in asserting the *Network Owner's* title to the assets, including obtaining the assistance of *your ASP/1*; and
  - (2) *you* agree to indemnify *Ausgrid* for all costs and expenses incurred by *Ausgrid* (including legal fees on an indemnity basis) in asserting the *Network Owner's* title to the assets.
- (d) You acknowledge that where Ausgrid issues Ausgrid material to an ASP the Network Owner retains ownership of that Ausgrid material at all times from the issue of that Ausgrid material and that Ausgrid material are not fixtures.
- (e) You agree that the terms of this *contract* may constitute one or more *Security Interests* for the purpose of the *PPSA* and that:
  - (1) to perfect any such *Security Interest Ausgrid* or the *Network Owner* may (but is not obliged to) register a financing statement(s) on the *Personal Property Securities Register*,
  - (2) you shall have no rights under sections 95, 118, 121(4), 125, 130, 132, 135, 142 and 143 of the *PPSA*;
  - (3) the application of Part 4.3 (other than sections 123, 124, 126, 128, 129(1), 133, 134(1) and 136) of the *PPSA* is contracted out of if that Part would apply by virtue of section 116(2) of the *PPSA*;
  - (4) *you* waive *your* right to receive notice of a verification statement under section 157 of the *PPSA*; and
  - (5) *you* must, promptly on request by *Ausgrid*, provide any such information and execute and deliver any such documents as *Ausgrid* may reasonably require to protect the *Security Interests* granted to *Ausgrid* or the *Network Owner* by *you* under or in relation to this *contract*.
- (f) Any *distribution system* assets decommissioned as part of the *Asset Relocation* remain the property of the *Network Owner* and must:
  - (1) be returned to Ausgrid at a time and place agreeable to Ausgrid; or

- (2) where *Ausgrid* decides that the *distribution system* asset is no longer required, be disposed of by *you* in accordance with *law*.
- (g) you are responsible for all costs associated with complying with this clause 13.

## 14 Access to the development and safety

#### 14.1 Safe access and co-operation

- (a) Before and after the Asset Relocation is completed or, where electrification is to take place, before and after the relocated distribution system assets are electrified, you will provide Ausgrid, and will ensure that your employees, contractors and agents provide, or will procure that the principal contractor and its employees, contractors and agents provide, Ausgrid with such access to the development and assistance as Ausgrid reasonably requires so it may safely inspect the relocated distribution system assets and the development.
- (b) You will co-operate and ensure that *your* employees, contractors and agents or those of *your principal contractor* co-operate with *Ausgrid* in relation to the provision of the *Services*.

#### 14.2 Access after electrification

- (a) After the Asset Relocation is completed or, where *electrification* is to take place, after the relocated *distribution system* assets are *electrified*, and until any works that may inhibit access are completed the *principal contractor* must permit authorised officers of Ausgrid to enter the development at any reasonable time during daylight hours in order to conduct any necessary inspection or do any thing relating to:
  - (1) any Service provided or to be provided by Ausgrid under this contract;
  - (2) any breach or possible breach of *Ausgrid's* requirements imposed under the *energy laws* or this *contract*;
  - (3) any defect or possible defect in the relocated *distribution system* assets or the *electrical installation*;
  - (4) any matter concerning the safety of the relocated *distribution system* assets; or
  - (5) the operation and maintenance of any substation.
- (b) In an emergency, the *principal contractor* must permit authorised officers of *Ausgrid* to enter the development any time of day or night.

#### 14.3 Licence for access to relocated distribution system assets

Until registration of all *interests in land* in favour of the *Network Owner, you* must ensure that *Ausgrid* continues at all times to have unobstructed access (suitable for truck access in all weather conditions) to all relocated *distribution system* assets.

#### 14.4 Ausgrid's obligations

- (a) Ausgrid acknowledges that it has obligations under the Work Health and Safety Act 2011 (NSW) and will do all things and will ensure that its authorised officers do all things reasonably necessary to co-operate with the *principal contractor* to ensure that *Ausgrid's* officers remain safe when they access the development.
- (b) *Ausgrid's* contractors and personnel who act under *Ausgrid's* authority must show identification before carrying out work on the development. *Ausgrid* issues photo identification cards that indicate the nature of the contractor's and personnel's authority.

#### 14.5 Principal contractor

- (a) You must notify Ausgrid of the person appointed by you as principal contractor for the work under the contract within 5 business days of such appointment.
- (b) If the person appointed as the *principal contractor* is not *your ASP/1 you* must include in *your* contract with that person a requirement that they will accept an appointment as *principal contractor* by *Ausgrid* in respect of the *Ausgrid-funded assets* works and will perform the duties of the *principal contractor*. You undertake to *Ausgrid* to enforce such a provision in that contract.

## 15 Environmental, technical and safety requirements

#### 15.1 Your obligations

You will do everything reasonably necessary to ensure that your electrical professionals and any other contractor working on the *development* complies with *Ausgrid's environmental, technical and safety requirements* set out in or imposed in accordance with this clause.

#### 15.2 Electrical Safety Rules

Work on or near the distribution system must be done in accordance with Ausgrid's Electrical Safety Rules.

#### 15.3 Compliance with the Certified Design

The relocated *distribution system* assets as built must comply in all respects with the *certified design* (as amended and re-certified in accordance with this *contract*).

#### 15.4 Technical Compliance

The *electrical installation* and the relocated *distribution system* assets must comply with the requirements of (as applicable):

- (a) the *installation rules*;
- (b) the *rules*, the market operations rules and any applicable metrology or other procedures made under the *rules* or the market operations rules;
- (c) any requirements specified by Ausgrid under the Electricity Supply Act 1995, the Electricity Supply (General) Regulation 2014, the Electricity Supply (Safety and Network Management) Regulation 2014, the Electricity (Consumer Safety) Act 2004 or the Electricity (Consumer Safety) Regulation 2015;
- (d) all applicable *Ausgrid* Policies and Network Standards; and
- (e) any requirements or standards specified by *Ausgrid* from time to time to ensure compliance with the requirements and obligations referred to in clauses 15.4(a) to 15.4(d) (inclusive).

#### 15.5 Environmental Requirements

You must provide in your contract with your ASP/1 that the ASP/1 must comply with:

- (a) all relevant conditions of consent under the *Environmental Planning and Assessment Act 1979*; and
- (b) the requirements of Ausgrid's network standard NS 174 Environmental Procedures,

and you will do all things reasonably necessary to ensure that your ASP/1 so complies.

#### 15.6 Network safety

You must comply and ensure that *your electrical professionals* comply with any reasonable requirement imposed by *Ausgrid* at any stage prior to the expiry of the *rectification period* if *Ausgrid* becomes aware of any defect or other matter or thing that in its reasonable opinion may:

- (a) cause the *distribution system* to be unsafe;
- (b) cause the relocated *distribution system* assets not to comply with the conditions of this *contract*; or
- (c) damage the *distribution system* or any other customer's *electrical installation* or electrical goods or equipment utilising *that electrical installation*.

#### 15.7 Fitness for safe operation

- (a) The *electrical installation* and the relocated *distribution system* assets must be fit to:
  - (1) operate safely in accordance with the *Electricity (Consumer Safety) Act 2004* (NSW) and the *Electricity (Consumer Safety) Regulation 2015* (NSW);
  - (2) pose no fire risk to the environment that surrounds the development;
  - (3) operate safely in accordance with *customers'* responsibilities identified in *Ausgrid's* Customer Installation Safety Plan and Bush Fire Risk Management Plan; both of which are published in accordance with the *Electricity Supply (Safety and Network Management)* Regulation 2014,

and all live parts must remain properly insulated and protected against inadvertent contact with any person.

(b) The *electrical installation* and relocated *distribution system* assets must also be designed and installed so as to ensure that they cannot be used in a manner that exceeds the operating limits imposed by the relevant design or the *installation rules*.

## **16** Service fees and charges

#### 16.1 Ausgrid's service fees

- (a) Ausgrid's current service fees for Services (other than network charges) are set out in the Fee Schedule in the schedule to the certified design.
- (b) You agree to pay all *service fees* properly incurred by *Ausgrid* in relation to the *Asset Relocation* in accordance with this clause 16.

#### 16.2 Billing arrangements

- (a) Ausgrid will issue you with an invoice for payment of service fees on no more than a monthly basis. You are required to pay these service fees in accordance with the payment terms of the invoice.
- (b) In certain circumstances where the service fees are likely to be significant, Ausgrid requires upfront payment of a proportion of the service fees prior to the commencement of the Asset Relocation works. Ausgrid will notify you of those fees as soon as practicable after the certification date. You are required to pay these service fees in accordance with the payment terms of the invoice.
- (c) You acknowledge that during construction of the work under the contract, your ASP/1 may incur further fees because Services have been required in addition to those reasonably anticipated by Ausgrid and paid in advance by you. Ausgrid will invoice your ASP/1 on your behalf (with a copy to you) for additional Services and those costs will be a debt due and payable to Ausgrid.
- (d) You acknowledge that payment by you or your ASP/1 of any additional service fees or fees invoiced to it prior to the proposed electrification date is a precondition to electrification.

#### 16.3 Capital contributions

- (a) You acknowledge that the *customer* is required to make a capital contribution to the cost of the relocated *distribution system* assets listed in Item 5(a) of the *schedule to the certified design* by retaining one or more *accredited service providers* to construct or install those assets.
- (b) You acknowledge that the *customer* is required to make a capital contribution to the cost of the relocated *distribution system* assets listed in Item 5(b) of the *schedule to the certified design* that *Ausgrid* will construct or install. The amount of this contribution is set out in Item 5(c) of the *schedule to the certified design*.
- (c) The capital contribution made by the *customer* is not a *Service fee*.

## **17** Termination of contract

#### 17.1 Termination if the date for commencement is not met

- (a) Subject to clause 17.1(b), this *contract* terminates automatically if *work under the contract* has not commenced within 12 months after the commencement of the *contract*.
- (b) If Ausgrid receives from you a written request supported by evidence, together with your assurance that there is not likely to be a substantial change to the certified design at least 10 business days before the expiry of the period referred to in clause 17.1(a), Ausgrid may agree to extend the period by which work under the contract must commence. In such circumstances, Ausgrid will issue a written notice specifying the period of extension unless, acting reasonably, Ausgrid considers that there has been or is likely to be a material change to:
  - (1) the Asset Relocation originally applied for; or
  - (2) network conditions affecting the *certified design*.

#### 17.2 Termination by Ausgrid

- (a) Ausgrid may terminate this contract by notice in writing to you at any time prior to the completion date, or, where electrification is to take place, electrification, if it considers, on reasonable grounds, that there has been or is likely to be a material change that renders the Asset Relocation you applied for unsuitable to provide a safe and reliable supply of electricity.
- (b) If *Ausgrid* terminates the *contract* and *you* wish to proceed with the *Asset Relocation*, you must make a new *application* and may be required to obtain a new *certified design*.

#### 17.3 Termination when rectification period expires

If the *contract* has not terminated under clause 17.1 or 17.2, it terminates on the expiry of the *rectification period*.

#### 17.4 Termination by customer

- (a) You may terminate this contract by giving Ausgrid 20 business days' notice in writing.
- (b) Termination of this *contract* does not affect any accrued rights or liabilities of either party, in particular, payment of any *service fees* incurred up to the date of termination.

#### **17.5** Consequences of termination

(a) On termination of this *contract*, for whatever reason, *you* must, or must procure, that:

- all temporary works, construction plant, rubbish, surplus material, plant and equipment are removed from the *development* and any damage arising from their removal is repaired;
- (ii) the *development* is left in a condition which does not cause a work health and safety risk to any person; and
- to the extent that any Asset Relocation has commenced and is not completed, the incomplete works are removed and the *development* is returned to the condition it was in before any Asset Relocation works were commenced;

each within the time required by Ausgrid.

- (b) You must comply with any additional reasonable requirements of *Ausgrid* as notified to *you* in writing, within the time required by *Ausgrid*,
- (c) If *you* do not comply with clauses 17.5(a) and 17.5(b) within the time required by *Ausgrid*, *Ausgrid* will, at its discretion:
  - (i) take any action it deems necessary to put the *development* in the condition it would have been in had clauses 17.5(a) and 17.5(b) been complied with, including restoring the network to the state it was in prior to the commencement of the *Asset Relocation*; and
  - (ii) invoice you for the cost of the actions taken pursuant to clause 16.5(c)(i) and those costs will be a debt due and payable to Ausgrid.

## **18 Dispute resolution**

#### 18.1 Resolving disputes under this contract

- (a) The parties must attempt to resolve any dispute in accordance with this clause 18.1.
- (b) Written notice of any dispute or difference must be given to the other party. That notice must:
  - (1) set out the legal basis of the claim;
  - (2) set out the facts upon which the claim is based; and
  - (3) have annexed to it copies of correspondence and any relevant background material.
- (c) If the parties' project managers are unable to resolve the dispute or difference the subject of a written notice within 10 *business days* after that notice is given, either party may refer the dispute or difference to mediation. The parties must utilise the mediation services provided by the Australian Commercial Disputes Centre (ACDC).
- (d) Within 10 *business days* of the dispute or difference being referred to mediation, the parties will attempt to agree on a mediator. If they are unable to agree on a mediator within that time, the Chair of the Resolution Institute will appoint an ACDC-accredited mediator.
- (e) Unless otherwise agreed by the parties and the mediator, the mediation must be held within 15 *business days* of the appointment of the mediator.
- (f) Unless otherwise agreed by the parties and the mediator, the mediation process will cease if the dispute has not been resolved within 20 *business days* of the appointment of the mediator.

#### 18.2 In the event of a serious breach

Where the dispute subject to this clause concerns a serious safety breach, serious technical breach or serious environmental breach, Ausgrid may issue a notice to your ASP/1 prohibiting any further work under the contract or the Ausgrid-funded assets works until the dispute is resolved.

## **19** Dictionary and interpretation

#### 19.1 Interpretation

In this *contract*, unless there is an express statement to the contrary:

- (a) Words importing the singular include the plural and vice versa; and any gender includes the other genders;
- (b) A reference to a person includes a natural person, firm, unincorporated association, corporation, government body, statutory body or *authority*;
- (c) A reference to a person includes its legal personal representatives, successors and assigns;
- (d) A reference to legislation, a statute, ordinance, code or other *law* includes regulations, rules and other statutory instruments under it and consolidations, amendments, re-enactments or replacements of any of them;
- (e) A reference to a right includes a benefit, remedy, discretion, authority or power;
- (f) A reference to "we" is a reference to Ausgrid;
- (g) A reference to "you" is a reference to the *customer*,
- (h) Headings are for convenience only and do not affect interpretation; and
- (i) An *annexure* is an annexure to this *contract*.

#### 19.2 Statutory definitions apply

- (a) Terms used in the *Asset Relocation offer* and the *contract* have the meanings they bear in the *energy laws* as amended from time to time, with the exception of *energy laws*, which bears the extended meaning given in clause 19.3.
- (b) For ease of reference, we offer the definitions set out in clause 19.3. Where our definitions differ from those in the *energy laws*, the definitions in the *energy laws* prevail in the event of a conflict of meaning, except as provided in this clause 19.2.

#### 19.3 Dictionary

Subject to clause 19.2, the following words have the following meanings:

Acceptance of Offer means the form provided by the customer to Ausgrid accepting the Asset Relocation offer.

*accreditation scheme* means the Accredited Service Provider Scheme administered by the NSW Department of Industry, Resources and Energy in accordance with the Electricity Supply (Safety and Network Management) Regulation 2014 (NSW).

accredited service provider means a person accredited under the accreditation scheme.

Annexure means an annexure to this contract.

*applicant*, in the context of this document, means the person who lodged the *application*, to whom the *Asset Relocation offer* is made.

application means an application to relocate the distribution system assets.

**ASP/1** means a person accredited as a level 1 *accredited service provider* in accordance with the *accreditation scheme* and for the purposes of this *contract* means *your* ASP/1.

**ASP/3** means a person accredited as a level 3 accredited service provider in accordance with the accreditation scheme.

Asset Relocation means the relocation of existing *distribution system* assets to be carried out in accordance with this *contract*, and includes all work associated therewith, including (but not limited to) works near the *distribution system* not necessarily on the *distribution system*, the production of a *certified design*, the construction of new, and the removal of existing, *distribution* 

system assets by the ASP/1, raising the ground level under an overhead line or changing the cover over an underground cable, and any other rectification work required as a result of the relocation of the *distribution system* assets.

Asset Relocation offer means the offer by Ausgrid to enter into the contract.

**augmentation** of a *distribution system* means work to enlarge the system or to increase its capacity to distribute electricity and **augmentation assets** refers to the assets resulting from the work.

Ausgrid-funded assets are the works funded by Ausgrid, further described in the schedule to the certified design.

**Ausgrid material** means capital equipment suitable for use in work under the contract or the Ausgrid-funded assets works, which Ausgrid issues to accredited service providers and for which Ausgrid may charge service fees.

Ausgrid's environmental, technical and safety requirements means the requirements set out in clause 15.

**Ausgrid's GIS** (Geographic Information System) means *Ausgrid's* spatial information database, detailing electrical network connectivity and electrical asset location.

**Ausgrid's offered price** means the price Ausgrid, acting reasonably, has calculated is a fair price for the labour and capital costs of installing Ausgrid-funded assets. Ausgrid's offered price is set out in Item 5(e) of the schedule to the certified design and it may be varied in accordance with clause 5.3 to 5.6 of this contract.

authorisation means the consent Ausgrid gives to an accredited service provider to work on or near the distribution system.

*authorisation agreement* means the agreement between *Ausgrid* and *your ASP/1* under which *Ausgrid* provides its *authorisation*.

*authority* means any Government or regulatory department, body, instrumentality, minister, agency or any other authority.

*business day* means any week day other than any public holiday in New South Wales and other than 27, 28, 29, 30 and 31 December.

*certified design* means a design of the *distribution system* assets to be relocated as part of *Asset Relocation,* which is prepared by an *ASP/3* and certified by *Ausgrid* in accordance with its design contract and includes any amendments made by the *ASP/3* and subsequently re-certified by *Ausgrid*.

*certification date,* being the date the initial *certified design* is certified, means the date taken by *Ausgrid* to be the date it received a suitable *application*.

**completion date** means the date on which the construction, *electrification* and the reinstatement work associated with the *Asset Relocation* is completed in accordance with the *contract* to *Ausgrid's* satisfaction (acting reasonably).

*connection* means a physical link between a *distribution system* and *premises* to allow the flow of electricity and *connect* has a corresponding meaning.

contract has the meaning given in clause 1.1.

Contract Terms means this document.

customer means the person for whom the Asset Relocation is being carried out.

*deed of agreement* means either or both the Deed of Agreement for Lease or Deed of Agreement for Easement referred to in clause 3 of the *contract*.

**design contract** means the contract between *Ausgrid* and the *customer* under which *Ausgrid* provides the services required in order to produce the design for the *Asset Relocation* and which includes certification of the design.

*development* the lands and other places made available to *you* (whether by *Ausgrid* or another person) for the purpose of the *Asset Relocation* works.

*distribution system* means the apparatus, equipment, plant and buildings used to convey and control the conveyance of electricity to *customers*, and for the purpose of this contract means the

distribution system that is owned by the *Network Owner*, leased to the *Network Lessee*, and operated and maintained by *Ausgrid* under a sub-lease.

*easement instrument* means a Section 88B instrument or a transfer granting easement for a relevant *interest in land* required under this *contract*.

*electrical installation* has the meaning it is given from time to time in the Electricity (Consumer Safety) Act 2004 (NSW) and at the date of this offer means the electrical wiring and associated equipment that are used to convey and control the conveyance of electricity within the *development* to which electricity is supplied from a *distribution system*, but does not include *Ausgrid's* premises *connection assets* or anything connected to and extending or situated beyond an electrical outlet socket.

*electrical professional* includes a licensed electrician, a licensed electrical contractor, or an *accredited service provider*.

*electrify* means apply electric current to the relocated *distribution system* assets and *electrification* has a corresponding meaning.

*energy laws* includes (as applicable and as amended from time to time) the National Energy Retail Law, the National Electricity Law, the *rules*, any rules, regulations and instruments made under the National Energy Retail Law or the National Electricity Law, the Electricity Supply Act 1995 (NSW) and Electricity (Consumer Safety) Act 2004 (NSW), all rules, regulations, instruments and plans made under or to comply with those Acts; The *Service and Installation Rules* and instruments made by *Ausgrid* as permitted under those rules; *Ausgrid's* Electrical Safety Rules; *Ausgrid's* Policies and Network Standards; and *Ausgrid's* plans made under the Electricity Supply (Safety and Network Management) Regulation 2014.

*fit for purpose* includes but is not limited to complying with the *certified design*, the *installation rules* and *Ausgrid's* safety and technical requirements and being free of *major* and *minor defects*.

force majeure event has the meaning given in clause 12.5.

*installation rules* means the Service and Installation Rules of New South Wales as amended from time to time.

interests in land include leases and easements and instrument bears the same meaning.

instrument means an instrument creating an interest in land capable of being registered by LPI.

*land* means a lot in which the *Network Owner* is entitled to acquire a registered *interest in land* to protect *distribution system* assets placed on that lot in accordance with this *contract*.

*law* means any legislation, delegated legislation, regulations or any rules, instruments, notices or directions published by an *authority* and the *energy laws* 

*load* means the measure of the electricity (expressed in amperes) that flows from the *distribution system* to the *development* or to any *premises*.

LPI means Land and Property Information New South Wales.

*major defect* means a defect in any *work under the contract* which in *Ausgrid's* reasonable opinion is likely to make the work unsafe to *electrify* or leave *electrified*.

*minor defect* means a defect in any *work under the contract* which in *Ausgrid's* reasonable opinion requires rectification but is not a *major defect*.

*Network Lessee* means Ausgrid Asset Partnership (ABN 48 622 605 040), a partnership carried on under that name by:

- (a) Blue Asset Partner Pty Ltd (ACN 615 217 493) as trustee for the Blue Asset Partner Trust;
- (b) ERIC Alpha Asset Corporation 1 Pty Ltd (ACN 612 974 044) as trustee for ERIC Alpha Asset Trust 1;
- (c) ERIC Alpha Asset Corporation 2 Pty Ltd (ACN 612 975 023) as trustee for ERIC Alpha Asset Trust 2;
- (d) ERIC Alpha Asset Corporation 3 Pty Ltd (ACN 612 975 032) as trustee for ERIC Alpha Asset Trust 3; and
- (e) ERIC Alpha Asset Corporation 4 Pty Ltd (ACN 612 975 078) as trustee for ERIC Alpha Asset Trust 4,

and its successors and assigns, which leases the assets which form part of the *distribution system* from the *Network Owner* and which are in turn operated and maintained by *Ausgrid* under a sub-lease arrangement.

**Network Owner** means Alpha Distribution Ministerial Holding Corporation and its successors and assigns, that owns the assets which form part of the *distribution system* which are leased to the *Network Lessee* and are in turn operated and maintained by *Ausgrid* under a sub-lease arrangement.

Personal Property Securities Register has the meaning given under the PPSA.

**PPSA** means the *Personal Property Securities Act 2009* (Cth) and any regulation made at any time under that Act, including the *Personal Property Securities Regulations 2010* (Cth) (each as amended from time to time).

*preconditions to electrification* are the preconditions, set out in clause 12.4(d), that must be satisfied before *Ausgrid* will *electrify* the relocated *distribution system* assets.

*premises* includes any building or part of a building, any structure or part of a structure, any land (whether built on or not) and any river, lake or other waters;

principal contractor is defined in the Work Health and Safety Regulation 2011 (NSW).

*proposed completion date* means the date set out in [Item 7 of the *schedule to the certified design*], as amended from time to time in accordance with this *contract*.

*proposed electrification date* means the date set out in Item 8 of the *schedule to the certified design*, as amended from time to time in accordance with this *contract*.

*rectification period* means three years from the *completion date*.

red line survey plan is defined in clause 3.6.

*registered surveyor* means a person registered as a surveyor under the Surveying and Spatial Information Act 2002 (NSW).

registration demand means a demand made by Ausgrid pursuant to clause 3.7(c)(3).

*Regulator* means the Australian Energy Regulator established by section 44AE of the Competition and Consumer Act 2010 (Cth).

*relevant instrument* means the instrument of lease or *easement instrument* for an *interest in land* to capable of being registered by LPI.

*rules* means the National Electricity Rules established (and as amended from time to time) under the National Electricity Law.

schedule to the certified design means the document of that name in the form of Annexure 2, which Ausgrid attaches to the certified design.

Security Interest has the meaning given under the PPSA.

serious environmental breach means an act or omission that has led or in *Ausgrid's* reasonable opinion may lead to an offence under any environmental legislation.

**serious safety breach** means a breach of the ASP/1's safety obligations to Ausgrid or a breach of the ASP/1's duties under the Work Health and Safety legislation that in Ausgrid's opinion constitutes a threat to the health or safety of any person or the safe operation of the distribution system.

serious technical breach means a breach of this *contract* that in *Ausgrid's* reasonable opinion constitutes a threat to the integrity or safe operation of the *distribution system* or an *electrical installation*.

service fees under this contract are the fees payable in accordance with clause 16.

**Services** are non-routine or *customer* specific services provided to the *customer* in accordance with clause 2.2 and for which *service fees* are payable.

SOP Act means the Building and Construction Industry Security of Payment Act 1999 (NSW).

*survey plan* means a plan prepared by a *registered surveyor* for the purpose of registration of a lease or easement and satisfactory for registration purposes.

**Table of Critical Dates** means the table prepared by the ASP/1 in accordance with clause 6.3, and which is substantially in the form of Annexure 1.

*Work Health and Safety legislation* means the *Work Health and Safety Act 2011* (NSW) and the *Work Health and Safety Regulation 2011* (NSW).

*work on or near the distribution system* includes work on any part of the *distribution system* where there is a reasonable possibility that:

- (a) a part of a person's body, or
- (b) any moveable object (not designed for safe use on live conductors of the same or higher voltage) that the person might be wearing, touching, or carrying,

may come closer to a live exposed conductor than the minimum safe working distance stipulated in *Ausgrid's* Electrical Safety Rules.

**work under the contract** means the Asset Relocation work carried out for the customer (whether by an ASP/1, or Ausgrid) as further described in item 5 of the schedule to the certified design, excluding the Ausgrid-funded assets works and anything carried out by the ASP/3 in relation to the Asset Relocation, and **works under the contract** has an equivalent meaning.

your ASP/1 means the ASP/1 retained by you to construct and install the relocated distribution system assets.

#### **ANNEXURE 1**

#### [Note: This annexure should be updated on a contract by contract basis to reflect the critical dates for the relevant asset relocation.]

#### Template for Table of Critical Dates

Version\_\_\_\_ Date\_\_\_\_\_

CRITICAL DATE DESCRIPTION	DATE
<b>proposed start date</b> Anticipated start date of electrical construction work by the <i>ASP/1</i> on site. <i>Applicant</i> to advise <i>Ausgrid</i> when date is known from the <i>ASP/1</i> .	XX/XX/XXXX
<b>proposed completion date</b> Anticipated <i>completion date</i> of electrical construction work by the <i>ASP/1</i> on site. Applicant to advise <i>Ausgrid</i> when date is known from the <i>ASP/1</i> .	XX/XX/XXXX
<ul> <li>Final electrification requested – 6 weeks prior to date for electrification</li> <li>At this date, the following (as applicable) must be complete to <i>Ausgrid's</i> satisfaction</li> <li>Valid Operator Request Forms (ORF) submitted to <i>Ausgrid</i></li> <li>Valid Technical Equipment Inventory (TEI) sheets submitted to <i>Ausgrid</i></li> <li>Valid substation testing sheets submitted to <i>Ausgrid</i></li> <li>Deed of agreement executed (unless waived under clause 3.3a)</li> </ul>	XX/XX/XXXX
Ready for electrification – 2 weeks prior to date for electrification         At this date, the following (as applicable) must be complete to Ausgrid's satisfaction         All works by your ASP/1 completed         Rectification of non-conformance(s) by ASP/1         Submission of ASP/1 statement of compliance (Annexure 3 of the authorisation agreement)         Valid red line survey plan submitted to Ausgrid         Payment of all outstanding service fees         Proof of lodgement of appropriate easements/leases (unless waived at Ausgrid's sole discretion)         Certificate of Title lodged at LPI (unless waived under clause 3.7)         Valid Certificate of Compliance for Electrical Work (CCEW) and Notice of Service Work (NOSW) forms (as applicable) submitted to Ausgrid	XX/XX/XXXX

Electrification	XX/XX/XXXX
The date the ASP/1 works and installation are electrified.	

#### Note:

Prior to or at the pre-construction meeting, *you* must ensure that *your* ASP/1 submits to Ausgrid a Table of Critical Dates in accordance with this Annexure 1, recording all critical dates relevant to the Asset Relocation works. For further details see clause 6.3.

In completing this template, *your ASP/1* should note that the execution of *deeds of agreement* (cl 3.3) and easement/lease plans and *instruments* (cl 3.6) can take 4 weeks for *Ausgrid* to review and execute. *Your ASP/1* must allow for this processing in its scheduling.

#### **ANNEXURE 2**

SCHEDULE TO THE CERTIFIED DESIGN Project Number :SCXXXX				Imber :SCXXXXX	
1. CUSTOMER					
2. CUSTOMER ADDRESS					
3. DEVELOPMENT ADDRESS		•			
4. LEASES AND EASEMENTS R	EQUIRED BY	AUSGRI	<b>D:</b> The leases and easements shown in t	he certified design	
5. ASSET RELOCATION WORK	S				
(a) Funded by the <i>customer</i> undertaken by the <i>ASP/1</i>		All works depicted in the <i>certified design</i> that are not included in 5(b) or 5(d) below.			
(b) Funded by the <i>customer</i> undertaken by Ausgrid		(Supply and installation of)/(Supply only)/(Installation only)/(Trenching from to)/(Spare conduit)/(Low voltage Interconnector)/(Streetlighting bracket/luminaire) etc.			
(c) <i>Customer's</i> payment to <i>Ausgrid</i> if it undertakes works in 5(b)		\$XXXX,XXXX.XX			
(d) <i>Ausgrid-funded works</i> to be undertaken by the <i>ASP/1</i> )		(Supply and installation of)/(Supply only)/(Installation only)/(Trenching from to)/(Spare conduit)/(Low voltage Interconnector)/(Streetlighting bracket/luminaire) etc.			
(e) <i>Ausgrid's</i> payment to <i>your ASP/1</i> if it undertakes works in 5(d) (incl GST)			\$XXXX,XXXX.XX		
6. AUSGRID'S SERVICES (incl GST) The fees quoted below are <i>Ausgrid's</i> estimates of the expected charges. If additional services are required additional charges are applicable in accordance with the <i>contract</i> .					
Offer for Asset Relocation: \$XX,XXX			Access Permit*	\$XX,XXX	
Contestable Process Facilitation	\$XX,XXX		Clearance to Work*	\$XX,XXX	
Property Services	\$XX,XXX		Inspection (ASP/1 Grade A)	\$XX,XXX	
Customer Interface Coordination	\$XX,XXX		Inspection (ASP/1 Grade B)	\$XX,XXX	
Service fee associated with <i>Ausgrid</i> materials (if applicable)	\$XX,XXX or N/A		Inspection (ASP/1 Grade C)	\$XX,XXX	
Administration	\$XX,XXX		Substation Commissioning	\$XX,XXX	
Re-inspection*: (per hour)	\$XXX per hour		Supply temporary connections	\$XX,XXX	
* If this service is required more than once, you will incur this fee on each occasion					
7. TIMEFRAMES FOR SERVICES AND WORKS					
Timeframes for services and works will be developed by your ASP/1 and accepted by Ausgrid in accordance with clause 6.3 of the contract. A Table of Critical Dates will be annexed to the contract.					

## ANNEXURE 3

#### Application

[NOTE: Ausgrid to attach application.]